



PTO/SB/21 (09-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|---|------------------------|-------------------|
| | | Application Number | 10/749,532 |
| | | Filing Date | December 30, 2003 |
| | | First Named Inventor | Mineo YAMAKAWA |
| | | Art Unit | 1639 |
| | | Examiner Name | T. Wessendorf |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 070702005900 |

ENCLOSURES (Check all that apply)

| | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO SB/08a/b (1 page) IDS Citations (2 refs) Return Receipt Postcard |
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| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | Remarks |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|--------------------------|----------|--------|
| Firm Name | MORRISON & FEDERSTER LLP | | |
| Signature | | | |
| Printed name | Jonathan Bockman | | |
| Date | January 3, 2007 | Reg. No. | 45,640 |

Patent
Docket No. 070702005900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Mineo YAMAKAWA

Serial No.: 10/749,532

Filing Date: December 30, 2003

For: METHOD FOR IDENTIFYING A
PEPTIDE THAT BINDS A
GEOMETRICAL SHAPE



Examiner: T. Wessendorf

Group Art Unit: 1639

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the non-patent literature documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114; accordingly, no fee or separate requirements are required.

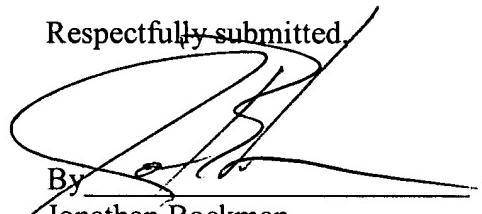
Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 070702005900.

Dated: January 3, 2007

Respectfully submitted,



By _____
Jonathan Bockman
Registration No.: 45,640
MORRISON & FOERSTER LLP
1650 Tysons Blvd, Suite 300
McLean, Virginia 22102
(703) 760-7769



ALTERNATIVE TO PTO/SB/08a/b (07-05)

| | | | | | |
|------------------------------|---|----|---|--------------------------|-------------------|
| Substitute for form 1449/PTO | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/749,532 |
| | | | | Filing Date | December 30, 2003 |
| | | | | First Named Inventor | Mineo YAMAKAWA |
| | | | | Art Unit | 1639 |
| | | | | Examiner Name | T. Wessendorf |
| Sheet | 1 | of | 1 | Attorney Docket Number | 070702005900 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 1. | 4,737,578 | 04-12-1988 | EVANS et al. | |
| | 2. | 5,401,511 | 03-28-1995 | MARGALIT | |
| | 3. | 5,603,872 | 02-18-1997 | MARGALIT | |
| | 4. | 6,656,712 | 12-02-2003 | BALAVOINE et al. | |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | | | | T ⁶ |

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|-----------------------|---|--|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | 5. | Letherbrow et al. (1991). "Structure of Immunoglobulin G by Scanning Tunneling Microscopy" <i>J. Mol. Biol.</i> 221:361-365 | | | |
| | 6. | Dorn et al. (1999). "High-resolution AFM-Imaging an Mechanistic Analysis of the 20 S Proteasome", <i>J. Mol. Biol.</i> 288:1027-1036 | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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|--------------------|-----------------|
| Examiner Signature | Date Considered |
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